

1642 p#4

KLAUBER & JACKSON

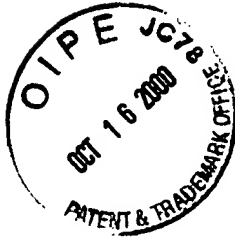
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October 11, 2000

Assistant Commissioner for Patents
Washington, DC 20231

TRANSMITTAL OF VERIFIED STATEMENT
CLAIMING SMALL ENTITY STATUS

Re: U.S. Patent Application
Applicants : Siegfried HEKIMI *et al*
Title : THE C. ELEGANS gro-1 GENE
Serial No. : 09/513,151
Filed : February 25, 2000
Our File : 979-1-017

Dear Sir:

Applicants herewith submit a second Verified Statement Claiming Small Entity Status on behalf a Nonprofit Organization for filing in the above referenced application.

Due entry and filing hereof are accordingly requested.

Respectfully submitted,

DAVID A. JACKSON
Attorney for Applicant(s)
Registration No. 26,742

DAJ/lis
Enclosure

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OCT 19 2000

TECH CENTER 1600/2900

Applicant or Patentee: Siegfried HEKIMI et al.
Serial or Patent No.: 09/513,151 Atty. Dkt. No.: 979-1-017
Filed or Issued: February 25, 2000
For: THE C. ELEGANS gro-1 GENE

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**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
[(37 CFR 1.9(f) AND 1.27 (d)) - NONPROFIT ORGANIZATION]**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION McGILL UNIVERSITY
ADDRESS OF ORGANIZATION 845 Sherbrooke Street West
Montréal, Québec, Canada H3A 2T5

TYPE OF ORGANIZATION
☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE [26 USC 501(a) AND 501(c)(3)]
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA

(NAME OF STATE _____)
(CITATION OF STATUTE _____)

☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE [26 USC 501(a) AND 501 (c)(3)] IF LOCATED IN THE UNITED STATES OF AMERICA

☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA

(NAME OF STATE _____)
(CITATION OF STATUTE _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9 (e) for purposes of paying reduced fees under section 41(a) or (b) of Title 35, United States Code with regard to the invention entitled THE C. ELEGANS gro-1 GENE by inventor(s) Siegfried HEKIMI; Jason Lemieux; Bernard LAKOWSKI, and Thomas BARNES described in

- ☐ the specification filed herewith
☒ application serial no. 09/513,151, filed February 25, 2000
☐ patent no. _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. [37 CFR 1.27]

NAME CHRONOGEN INC.
ADDRESS 1550 Metcalfe, Bureau 502, Montréal, Québec, Canada H3A 1X6
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.28(b)]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Alex Navarre
TITLE IN ORGANIZATION Director - Office of Technology Transfer
ADDRESS OF PERSON SIGNING 845 Sherbrooke Street West, Quebec, Canada H3A 2T5

SIGNATURE [Signature] DATE 22/08/2000